pubs.acs.org/OPRD

## Development

# Asymmetric Synthesis of (S)-3-Amino-4-methoxy-butan-1-ol by Way of Reductive Amination

Patrizio Mattei, Gérard Moine, Kurt Püntener, and Rudolf Schmid\*,

F. Hoffmann-La Roche Ltd., pRED, Pharma Research and Early Development, Grenzacherstrasse 124, CH-4070 Basel, Switzerland. †Discovery Chemistry

**ABSTRACT:** A new synthesis of (S)-3-amino-4-methoxy-butan-1-ol is reported. The synthesis is based on the preparation of the primary, nonprotected enamine of the commercially available β-keto ester methyl 4-methoxy-3-oxo-butanoate and asymmetric catalytic enamine hydrogenation using a Ru-MeOBIPHEP catalyst. Alternatively, the process is performed by asymmetric catalytic reductive amination of the β-keto ester with ammonium acetate and hydrogen using a similar Ru catalyst. Both process versions provided initial ee values of 97−98% which were upgraded to ≥99% by product crystallization. Ester to alcohol conversion was best accomplished by LiBH<sub>4</sub> reduction after transitory Boc protection of the amino group.

#### 1. INTRODUCTION

(S)-3-Amino-4-methoxy-butan-1-ol (1, Figure 1) was required in multigram quantities for a medicinal chemistry program. This  $\beta$ -amino alcohol, and N-protected derivatives thereof, served as useful starting materials for the preparation of diamines of type 2 by reductive alkylation at the amino group and reductive amination at the alcohol derived aldehyde function.

Precedent for the synthesis of 1 and derivatives thereof comprises their preparation from aspartic acid derivatives. Thus, starting from Z-Asp(Ot-Bu)-OH, Cbz-protected 1 was prepared by a sequence of borane reduction, Ag<sub>2</sub>O-mediated *O*-methylation, *tert*-butyl ester cleavage, and acid reduction via a mixed anhydride (Scheme 1).<sup>1</sup> In a related approach, starting from Boc-Asp(OBn)-OH, the *O*-methylation step was performed with trimethylsilyldiazomethane/BF<sub>3</sub>.<sup>2</sup> The route starting from Z-Asp(Ot-Bu)-OH proved difficult in our hands due to concurrent *N*-methylation in the *O*-methylation step leading to substantial formation of the *O*,*N*-dimethylation product, hence requiring chromatographic purification.

Concurrent *N*-methylation was also observed in an alternative route which started from L-homoserine benzyl ether (7) and which involved a sequence of borane reduction to amino alcohol 8, Boc protection of the amino group, Ag<sub>2</sub>O-mediated *O*-methylation, and final debocylation and debenzylation to provide the acetate salt of 1 (Scheme 2,  $7 \rightarrow 8 \rightarrow 9 \rightarrow 10 \rightarrow 1 \cdot HOAc$ ). Therefore, in a further version, phthalimido protection of the amino function was chosen (Scheme 2,  $8 \rightarrow 11 \rightarrow 12 \rightarrow 10 \rightarrow 1 \cdot HOAc$ ). *N*-methylation was thus prevented, but insufficient selectivity in these transformations resulted again in the necessity of chromatographic purification.

From a scale-up perspective these routes appeared problematic due not only to the required chromatographic purifications but also to the use of  $Ag_2O$ , which was deemed impractical for larger scale. Moreover, homoserine benzyl ether 7 could not be readily obtained in kilogram quantities within a reasonable time frame. Accordingly, synthetic approaches using other starting materials were considered.



**Figure 1.**  $\beta$ -Amino alcohol 1.

Scheme 1. Aspartic acid derivative as starting material

#### 2. REDUCTIVE AMINATION-BASED SYNTHESIS

Rh- and Ru-catalyzed asymmetric hydrogenation of unprotected primary enamines derived from  $\beta$ -keto esters as well as Ru-catalyzed asymmetric reductive amination of  $\beta$ -keto esters recently has been reported for the preparation of  $\beta$ -amino acid derivatives.<sup>3–5</sup> A new synthesis of 1 therefore was envisioned to start from methyl 4-methoxyacetoacetate (13). This readily available  $\beta$ -keto ester incorporates already the methyl ether moiety, hence eliminating the need to establish this functionality at a later stage. Treatment of neat 13 with ammonia gas afforded the enamine 14 in high yield

**Received:** October 15, 2010 **Published:** January 18, 2011

<sup>&</sup>lt;sup>‡</sup>Process Research and Synthesis

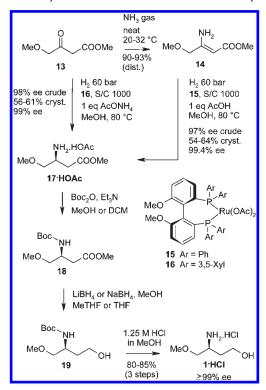
Scheme 2. L-Homoserine benzyl ether as starting material

(90-93% after distillation) and high purity (GC >99%).<sup>6</sup> The enamine 14 consists of a single geometric isomer of *Z*-configuration based on <sup>1</sup>H NMR (NOE measurement). No evidence was found for the presence of the *E*-isomer (Scheme 3).

Asymmetric catalytic hydrogenation of 14 in the presence of 1 equiv of acetic acid (HOAc) using [Ru(OAc)<sub>2</sub>((R)-MeOBI-PHEP)] (15) as catalyst (MeOH, 60 bar, 80 °C, S/C 1000) produced crude amino ester acetate salt 17·HOAc with high enantioselectivity (97% ee) and with a chemoselectivity of  $\sim$ 85%. Crystallization from ethyl acetate/heptane provided the salt 17. HOAc with 99.4% ee in 54-64% yield based on 14. Alternatively, 17 · HOAc was obtained by asymmetric reductive amination of the  $\beta$ -keto ester 13. Thus, hydrogenation of 13 in the presence of 1 equiv of ammonium acetate using  $[Ru(OAc)_2((R)-$ 3,5-Xyl-MeOBIPHEP)] (16) as the catalyst (MeOH, 60 bar, 80 °C, S/C 1000) produced 17 · HOAc, again with high enantioselectivity (98% ee) and with a chemoselectivity of ~85%. Crystallization provided pure 17. HOAc of 99.0% ee in yields of 56-61% based on  $\beta$ -keto ester 13. Hence, enamine isolation was rendered obsolete. Moreover, the yield of 17 · HOAc based on 13 was higher in the direct conversion by about 5%. It is assumed that in both process versions the primary imine of the keto ester is the reactive species undergoing hydrogenation. 3a,5b

Conversion of amino ester acetate salt  $17 \cdot HOAc$  to the required amino alcohol 1 was achieved by Boc protection (Boc<sub>2</sub>O, Et<sub>3</sub>N, rt) in methanol or dichloromethane (DCM) to afford Boc-protected amino ester 18 followed by ester reduction with LiBH<sub>4</sub> (1.2 equiv, 2 equiv MeOH, THF, or 2-methyltetrahydrofuran, 0 °C to rt) to provide Boc-protected amino alcohol 19. The reduction can also be performed with NaBH<sub>4</sub> under slightly forcing conditions (2.5 equiv NaBH<sub>4</sub>, 5 equiv MeOH, THF, 40–45 °C) leading to somewhat lower yields. Final deprotection (HCl/MeOH) gave the amino alcohol hydrochloride  $1 \cdot HCl$  in 80-85% yield based on

Scheme 3. Asymmetric reductive amination-based synthesis



17 · HOAc over three steps. The enantiomeric purity was fully conserved over these three steps. The overall yield of  $1 \cdot HCl$  based on  $\beta$ -keto ester 13 amounted to  $\sim$ 40% (via enamine 14 over five steps) or to  $\sim$ 45% over four steps via direct reductive amination. Assignment of absolute configuration was established by correlation with a sample of 1 previously prepared from 7, hence also confirming the expected sense of asymmetric induction in the catalytic reduction processes.<sup>4,5</sup>

### 3. COMMENTS TO INDIVIDUAL STEPS

3.1. Enamine Formation. The primary enamine 14 was best prepared by passing gaseous ammonia through neat  $\beta$ -keto ester 13. The reaction is slightly exothermic. Conversions >99% were readily achieved after 5-6 h. GC-MS analysis of the crude reaction product indicated the presence of the enamine amide byproduct 20 (Figure 2), which is slowly formed in 1−2% in a secondary reaction. Being partially water-soluble and less volatile, 20 was readily removed during workup and distillation. Likewise the enamine "dimer" 21, also being slowly formed in a secondary reaction in up to 0.5%, is removed in the distillation. The enamine 14 was also prepared by treatment of 13 with ammonium formate in methanol under reflux<sup>4</sup> or with NH<sub>3</sub> gas in methanol at rt, but partial hydrolysis back to 13 occurred during workup.8 Scale-up of the enamine formation proceeded straightforwardly to the 1-kg scale. Distilled materials were obtained in yields of 90-93% with excellent GC purity (>99%). Ammonia consumption was reduced from ∼4 equiv in lab-scale reactions to  $\sim$ 2 equiv by employing cooling and slower ammonia feeding rates at the kilogram scale.

**3.2.** Asymmetric Enamine Reduction. The investigation of the asymmetric hydrogenation of 14 focused on [Ru(OAc)<sub>2</sub>-(bisphosphine)] catalysts containing Roche proprietary MeO-BIPHEP-type ligands.<sup>9,10</sup> The hydrogenations were performed

Table 1.  $[Ru(OAc)_2(bisphosphine)]$ -catalyzed asymmetric hydrogenation of 14 in methanol<sup>a</sup>

no.	bisphosphine	conv. %	17 <sup>b</sup> %	ee %
1	(R)-MeOBIPHEP	>99	89	97.2
2	(R)-p-Tol-MeOBIPHEP	>99	89	97.2
3	(R)-3,5-Xyl-MeOBIPHEP	>99	89	97.0
4	(R)-3,5-i-Pr-MeOBIPHEP	99	90	96.2
5	(R)-3,5-t-Bu-MeOBIPHEP	66	33	80

 $<sup>^</sup>a$  Reagents and conditions: MeOH, S/C 1000, 60 bar H<sub>2</sub>, 1 equiv HOAc, 80 °C, 22 h, 5-g scale.  $^b$ GC area %.

in methanol at 80 °C and 60 bar hydrogen pressure at S/C ratios of 1000. The most pertinent results are given in Table 1. Catalysts containing MeOBIPHEP and sterically moderately demanding MeOBIPHEP ligands such as (R)-p-Tol-MeOBIPHEP or (R)-3,5-Xyl-MeOBIPHEP performed well, providing ee values of 97%. They were more active and more enantioselective than catalysts containing bulky bisphosphines such as (R)-3,5-i-Pr-MeOBIPHEP and (R)-3,5-i-Bu-MeOBIPHEP. The latter ligand in particular led to a substantial drop in activity and enantioselectivity.

The chemical selectivity of the hydrogenations was in the order of 85-90%. Major byproducts, as proposed by GC-MS analysis, were 22 ( $\sim$ 4%), 23 ( $\sim$ 0.5%), and 24 ( $\sim$ 5%) (Figure 2), indicating deamination as well as dimerization was occurring under the hydrogenation conditions. The use of 1 equiv of HOAc was crucial for a high catalyst performance. In the absence of HOAc almost no conversion was observed. Hydrochloric, trifluoroacetic, p-toluenesulfonic, and methanesulfonic acids led to very poor chemoselectivity (5-20%). The use of HOAc was also beneficial because the acetate salt of 17 formed in the reaction could easily be isolated by distillation from the dark-brown reaction residue to afford the white crystalline salt 17 · HOAc in up to 78% yield. The distillation process, however, proved nonscalable because of the limited thermal stability of 17·HOAc. 11 Therefore, crude 17·HOAc was finally purified by crystallization from ethyl acetate/heptane yielding crystalline 17. HOAc. 12 A single crystallization allowed for enantiomeric purity enhancement of the product to  $\geq$  99% ee, albeit with some loss of yield. An investigation towards lower catalyst loading showed that at S/C 2000 either the reaction time  $(1 \rightarrow 3 \text{ days})$  or the reaction temperature  $(80 \rightarrow 100 \,^{\circ}\text{C})$  had to be increased to achieve complete conversion. Thereby, the product formed was exposed longer to thermal stress which led to a lower yield. Eventually, reaction conditions using a S/C ratio of 1000 were chosen. Under the optimized conditions (catalyst 15, S/C 1000, 60 bar H<sub>2</sub>, 1 equiv of HOAc, MeOH, 80 °C, 24 h) the hydrogenation of 14 was successfully performed at the 100-g scale to yield 17·HOAc in 54-64% yield and with an ee of 99.4% after crystallization.

**3.3.** Asymmetric Reductive Amination. Results of the screening of reductive aminations of 13 with various Ru bisphosphine complexes are shown in Table 2.<sup>10</sup> The screening was performed in 2,2,2-trifluoroethanol (TFE) in the presence of 2.5 equiv NH<sub>4</sub>OAc as ammonia source at S/C 100, 60 bar H<sub>2</sub> and 80 °C, conditions similar to reported ones. Conversions were >99% in all experiments. The selectivity for 17 varied from 30 to 88%. Alcohol 25 was formed as the major byproduct due to the competitive hydrogenation of the keto group. In addition, the same set of byproducts (22, 23, 24) was formed as already observed in the enamine hydrogenation. Ru catalysts derived

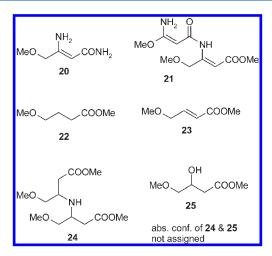


Figure 2. Byproducts.

Table 2.  $[Ru(OAc)_2(bisphosphine)]$ -catalyzed reductive amination of 13 in  $TFE^a$ 

no.	bisphosphine	25 <sup>b</sup> %	$17^b$ %	ee %
1	(R)-TMBTP	21	68	92
2	(R)-MeOBIPHEP	16	81	90
3	(R)-SEGPHOS	6	76	90
4	(R,S)-NMe <sub>2</sub> -PR <sub>2</sub> -Mandyphos <sup>c</sup>	1	74	90
5	(R)-BIBFUP	4	71	90
6	$(R,S)$ -PPF-P $t$ -B $\mathbf{u_2}^d$	24	60	88
7	(R)-3,5-Xyl-MeOBIPHEP	23	66	84
8	(R)-3,5-t-Bu-MeOBIPHEP	4		76
9	(R,R)-Ph <sub>2</sub> PPhFcCHMePXyl <sub>2</sub> <sup>d</sup>	_	34	54
10	(R)-[2,2]-PHANEPHOS	_	13	54
11	(S,S,S)-BICP <sup>e</sup>	_	9	6
12	(R,R)-NORPHOS	_	5	6
13	(S,S)-SKEWPHOS	_	9	4

<sup>a</sup> Conditions: TFE, S/C 100, 60 bar H<sub>2</sub>, 2.5 equiv NH<sub>4</sub>OAc, 80 °C, 22 h, 250-mg scale; conversion >99%. <sup>b</sup>GC area %. <sup>c</sup>R = 3,5-Me-4-MeO-C<sub>6</sub>H<sub>2</sub>; [Ru(2H<sup>+</sup>-bisphosphine)(NCMe)( $\eta$ <sup>5</sup>-2,4-dimethylpentadienyl)]-[BF<sub>4</sub>]<sub>3</sub> used as catalyst. <sup>d</sup> [Ru(bisphosphine)(NCMe)( $\eta$ <sup>5</sup>-2,4-dimethylpentadienyl)]BF<sub>4</sub> used as catalyst. <sup>e</sup>(1S,1'S,2S,2'S)-2,2'-Bis-(diphenylphosphino)-1,1'-bicyclopentyl.

from the atropisomeric parent bisphosphines TMBTP, MeOBI-PHEP, SEGPHOS, and BIBFUP performed best in terms of the enantioselectivity providing ee values of 90–92%, as did a catalyst derived from a (*R*,*S*)-Mandyphos ligand. Catalysts derived from sterically hindered MeOBIPHEP ligands performed with slightly lower enantioselectivity.

A remarkable improvement of the enantioselectivity as well as of the chemical selectivity was achieved with these catalysts when the reaction was run in methanol rather than in TFE (Table 3). Among the catalysts tested,  $[Ru(OAc)_2((R)-3,5-Xyl-MeOBIPHEP)]$  (16), i.e. a catalyst derived from a sterically moderately demanding bisphosphine, performed now best, yielding at S/C 250 17·HOAc with 98.0% ee and with high 97% chemoselectivity.

Progressing development work with 16 as catalyst revealed that the amount of NH<sub>4</sub>OAc could be reduced from 2.5 to 1 equiv without affecting chemical selectivity and yield but that at lower catalyst loading (S/C 1000) the chemical selectivity started to decrease (88–90%). The extended reaction times required due to

Table 3. [Ru(OAc)<sub>2</sub>(bisphosphine)]-catalyzed reductive amination of 13 in methanol<sup>a</sup>

no.	bisphosphine	14 <sup>b</sup> %	$17^b$ %	ee %
1	(R)-3,5-Xyl-MeOBIPHEP	_	97	98.0
2	(R)-MeOBIPHEP	5	86	97.0
3	(R)-DM-SEGPHOS	3	94	96.6
4	(R)-SEGPHOS	_	96	94.6
5	(R,S)-NMe <sub>2</sub> -PR <sub>2</sub> -Mandyphos <sup>c</sup>	5	91	95.2
6	(R)-3,5-t-Bu-MeOBIPHEP	3	95	75.2

<sup>&</sup>lt;sup>a</sup> MeOH, S/C 250, 60 bar H<sub>2</sub>, 2.5 equiv NH<sub>4</sub>OAc, 80 °C, 22 h, 500-mg scale; <sup>b</sup>GC area %. <sup>c</sup>R = 3,5-Me-4-MeO-C<sub>6</sub>H<sub>2</sub>; [Ru(2H<sup>+</sup>-bisphosphine)-(NCMe)( $\eta$ <sup>5</sup>-2,4-dimethylpentadienyl)][BF<sub>4</sub>]<sub>3</sub> used as catalyst.

Scheme 4. Asymmetric hydrogenation of enamine 14 with in situ product Boc protection

[Rh((
$$S,R$$
)-PPF-PtBu<sub>2</sub>)(COD)CI] Boc NH

14  $H_2$ , 40 bar, S/C 25 MeO COOMe

Boc<sub>2</sub>O, MeOH, 40 °C 18

95% ee

the reduced catalyst loading led again to increased formation of byproducts, as already observed in the asymmetric hydrogenation of 14. Under technically feasible reaction conditions (catalyst 16, S/C 1000, 60 bar  $H_2$ , 1 equiv of  $NH_4OAc$ , MeOH, 80 °C, 22 h), an ee of 97.8% was achieved, and after crystallization, 17·HOAc of 99.0% ee was isolated in 56–61% yield. The process as far as examined is considered robust and scalable. Nevertheless, identification of catalysts and/or conditions leading to improved chemoselectivity as well as elaboration of improved product crystallization conditions would be desirable.

**3.4.** Asymmetric Enamine Reduction with in Situ Product Boc Protection. As a shorter, one-pot process from enamine 14 to Boc-amino ester 18, the  $[Rh((S,R)-PPF-Pt-Bu_2)(COD)Cl]$ -catalyzed enamine hydrogenation in the presence of di-*tert*-butyl dicarbonate was briefly examined (Scheme 4). At a S/C ratio of 25 and in the presence of 1 equiv of Boc<sub>2</sub>O in methanol at 40 °C and 40 bar  $H_2$ , 18 was obtained with 90% chemoselectivity and with 95% ee. However, the moderate catalyst activity (98% conversion at S/C 25) was considered to be too low to warrant further development of this approach.

3.5. Ester to Alcohol Conversion. In principle, direct conversion of the amino ester salt 17·HOAc to the amino alcohol 1 should be possible. Preliminary experiments showed that LiAlH₄ reduction indeed worked, but they also indicated that substantial investment into the development of a suitable workup and isolation protocol for the highly water-soluble product would have had to be performed. In the interest of a rapid development of a scalable process, and due to project time constraints, protection of the amino group therefore was envisioned, and Boc-, Cbz- and dibenzyl protection were explored. Boc protection eventually was selected because it provided the best results.

Reduction of the Boc-amino ester 18 was most favorably performed with LiBH<sub>4</sub> in the presence of methanol as activating agent. In the optimized protocol, the reaction was run in THF or 2-methyltetrahydrofuran at 0  $^{\circ}$ C to rt with 1.2–1.3 equiv of LiBH<sub>4</sub> and with addition of 2 equiv of methanol over a period of 1 h. Quantitative conversion and high selectivity were obtained in

this way, and the exothermic reaction was easily controlled. The yields of Boc-amino alcohol 19 were quantitative for crude material and 92—97% after filtration over silica gel.

The reduction with NaBH<sub>4</sub> was slower and proved slightly inferior in terms of yield. Under optimized conditions (suspension of 2.5 equiv NaBH<sub>4</sub> in THF, 5 equiv of methanol added at 40–45 °C over 1 h), a 90% yield was achieved after filtration over silica gel. The elevated reaction temperature was chosen in order to avoid accumulation of reactive hydride species formed from NaBH<sub>4</sub> and methanol and hence to reduce the potential for runaway reactions under the heterogeneous conditions. At the same time foaming due to gas evolution was readily controlled. It is also important to note that the Ru content of the substrate 18 may have an influence on the required hydride equivalents. Halthough Ru contents have not been determined systematically, it is safe to note that Ru contents  $\leq$ 20 ppm had no influence on the hydride reductions. A silica gel filtration of crude substrate 18 proved necessary in case the Ru content in the hydrogenation product 17·HOAc was high.

#### 4. CONCLUSION

A new synthesis of (*S*)-3-amino-4-methoxy-butan-1-ol (1) starting from  $\beta$ -keto ester 13 has been found and developed. It provides  $1 \cdot HCl$  with  $\geq 99\%$  ee in 40% overall yield via enamine 14 over five steps and in 45% overall yield over four steps by reductive amination. Ru-MeOBIPHEP catalysts proved efficient in introducing the stereogenic center, either by enamine reduction or by reductive amination. An attractive feature of the new process is that there is no need to perform an *O*-methylation reaction. Areas for improvement in the catalytic processes are the currently still unsatisfactory chemoselectivity of  $\sim 85\%$  and the product crystallization protocol for  $17 \cdot HOAc$ .

#### 5. EXPERIMENTAL SECTION

5.1. General Remarks. Unless otherwise noted, reagents and solvents were used as received from commercial suppliers. Reactions generally were carried out under argon atmosphere. Gas chromatography (GC, area %) was performed on Agilent 6890 instruments using fused silica capillary columns (25 $-30 \text{ m} \times$  $0.32 \,\mathrm{mm}, 0.25 \,\mu\mathrm{m}$ ) with hydrogen or helium as carrier gas. Enantiomeric purity determinations were performed by GC analyses on chirally supported columns: BGB-176-SE (BGB Analytics, fused silica, 30 m  $\times$  0.25 mm, 25  $\mu$ m) for 17 and 18, Lipodex-E (Macherey Nagel, 25 m  $\times$  0.25 mm, 25  $\mu$ m) for 19 and BGB-172 (BGB Analytics, 30 m  $\times$  0.25 mm, 25  $\mu$ m) for 1. <sup>1</sup>H NMR spectra were recorded using tetramethylsilane as an internal standard. Chemical shifts are given in ppm  $(\delta)$  and coupling constants (J) are reported in hertz (Hz). Mass spectra were obtained as electron impact spectra at an ionization voltage of 70 eV (EI-MS) or by positive or negative ion spray ionization (ESI-MS). Data are reported in the form of m/z (intensity relative to base =100). Gases used in the hydrogenations were of following qualities: hydrogen (99.99990%, <0.1 ppm O<sub>2</sub>), argon (99.99990%, <0.1 ppm O<sub>2</sub>). Methanol used in the hydrogenations was distilled under argon. The Ru catalysts 15 and 16 were prepared according to reported procedures. 17

**5.2.** (*Z*)-**3-Amino-4-methoxy-but-2-enoic Acid Methyl Ester** (14). A 2.5-L jacketed glass reactor was charged with methyl

4-methoxyacetoacetate (13, 893 g, 6.11 mol) and a gentle stream of ammonia gas (99.99%) was introduced under the surface of the liquid at 25 °C (jacket temperature  $T_i$  25 °C). The feeding rate was increased stepwise allowing for a temperature increase to 30 °C after 1.5 h and to 34  $^{\circ}$ C after 2.5 h. The temperature fell to 29  $^{\circ}$ C after 4 h total addition time. Ammonia addition was stopped after 5 h when the reaction temperature reached 25 °C. A total of 200 g of ammonia gas (11.74 mol, 1.92 equiv) was consumed. Argon was passed through the orange, turbid mixture for 1 h which then was transferred to an extraction vessel using methyl tert-butyl ether (MTBE, 1.60 L) and 20% aq sodium chloride solution (300 mL). The phases were separated and the aq phase was back-extracted with MTBE (500 mL). The combined organic phases were dried over sodium sulfate (300 g) and filtered, and the filter cake was washed with MTBE (500 mL). The combined pale-yellow organic phases were evaporated, and the residue was dried (50 °C/≥10 mbar, 1 h) to yield crude 14 (851 g, 96%; GC 99.8%) as yellow liquid. Distillation (10 cm Vigreux column, oil bath temperature ≤100 °C) afforded enamine 14 (828.2 g, 93%) as colorless liquid, bp 64-67 °C/0.2-0.1 mbar; GC 99.9%. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 600 MHz):  $\delta$  3.36 (s, 3H, CH<sub>3</sub>O), 3.66 (s, 3H, CO<sub>2</sub>CH<sub>3</sub>), 3.97 (s, 2H, OCH<sub>2</sub>), 4.55 (s, 1H, =CH-)),  $\sim$ 5.3 (very br, 1H, NH),  $\sim$ 7.8 (very br, 1H, NH). EI-MS:  $145 (M^{\bullet +}, 41), 113 ([M - CH_3OH]^{\bullet +},$ 100), 98 (77), 82 (44), 68 (34).

5.3. (S)-2-Methoxy-1-methoxycarbonylmethyl-ethyl-ammonium Acetate (17·HOAc) . 5.3.1. By Enamine Hydrogenation. A 500-mL catalyst addition device was charged in the glovebox with a yellowish solution of 2.99 g  $[Ru(OAc)_2((R)-$ MeOBIPHEP)] (15, 3.72 mmol) in methanol (400 mL). The device was pressurized with 6 bar of argon and connected to a 12-L autoclave. The autoclave was charged with enamine 14 (540.7 g, 3.725 mol), methanol (6.60 L), and acetic acid (214 mL, 3.725 mol) and then was sealed and rendered inert under stirring (400 rpm, four cycles of pressurizing with 7-8 bar of argon and evacuation to 1-2 bar). The catalyst solution was introduced from the addition device into the autoclave. The autoclave was connected to a hydrogen line, which was thoroughly flushed with hydrogen. To remove residual argon and oxygen, the autoclave was pressurized with 18-20 bar of hydrogen, and the pressure was reduced to 2-3 bar (6 cycles). Then the hydrogen pressure was set to 60 bar and the heating started. After 1 h the reaction temperature of 80 °C was reached, whereas the pressure slightly increased to 62 bar. During the course of the hydrogenation, the pressure decreased slowly to the set 60 bar. A conversion of 96.2% was reached after 22 h. After a total reaction time of 25 h the autoclave was cooled and vented, and the brownish reaction solution was transferred with methanol (1.0 L) to a 20-L round-bottomed flask. The mixture was evaporated and dried to constant weight (35 °C,  $\geq$  10 mbar) to yield 760 g (98.5% by weight) of a red-brown oil which solidified upon storing at 4 °C; GC 87.5%, >99.9% conversion; ee 97.2%. In an analogous experiment starting from enamine 14 (100 g, 0.689 mol) 254 g of crude material was isolated as redbrown oil; GC 88.7%, ee 97.2%. The combined crude materials were dissolved in ethyl acetate (3.80 L), and the solution was treated with 30 g Norit SA II on the rotary evaporator  $(40 \,^{\circ}\text{C/1 h})$ . The suspension was filtered (glass fiber paper overlaid with Hyflo filter aid), and the filter cake was washed with ethyl acetate (0.50 L). The light-brown filtrate was evaporated and dried  $(40 \, ^{\circ}\text{C}/\geq 100 \, \text{mbar})$ . The oily residue was dissolved in ethyl acetate (3.80 L) and the solution transferred to a 10-L jacketed glass reactor. Crystallization started upon cooling  $(T_i \circ C)$ , resulting in the formation of a voluminous pulp. Heptane (1.0 L) was added

within 30 min, and the suspension was stirred at  $T_j$  10 °C overnight and finally at  $T_j$  0 °C for 2 h. The crystals were collected by suction filtration, washed with several portions of ethyl acetate (total 1.0 L) and heptane (total 1.0 L), and dried (40 °C/20 mbar) to provide 548.1 g (60% by weight) of 17 · HOAc as white crystalline powder which, by ¹H NMR analysis, contained ~0.3 equiv HOAc corresponding to ~8 wt % of HOAc; corrected yield: 55% 17 · HOAc. GC 99.7%; ee 99.4%; Ru content 20 ppm. ¹H NMR (CDCl<sub>3</sub>, 600 MHz):  $\delta$  2.04 (s, ~3.8H,  $CH_3CO_2^-$ ), 2.48 and 2.54 (ABX,  $J_{AB}$  = 16.5 Hz,  $J_{AX}$  = 8.2 Hz,  $J_{BX}$  = 4.8 Hz, 1H each,  $CH_2CO_2$ ), 3.34 and 3.40 (ABC,  $J_{AB}$  = 9.3 Hz,  $J_{AC}$  = 6.8 Hz,  $J_{BC}$  = 4.3 Hz, 1H each,  $OCH_2$ ), 3.37 (s, 3H,  $CH_3O$ ), 3.48 (m, 1H, CHN), 3.70 (s, 3H,  $CO_2CH_3$ ), 5.37 (s br, ~4H,  $H_3N^+$  and  $H_2O$ ). ESI-MS: calcd for  $C_6H_{13}NO_3$  147.0898; found 170.0788 (M + Na)<sup>+</sup>, 148.0971 (M + H)<sup>+</sup>.

**5.3.2. By Reductive Amination.** A 150-mL catalyst addition device was charged in the glovebox with a yellowish solution of  $[Ru(OAc)_2((R)-3,5-Xyl-MeOBIPHEP)]$  (16, 313 mg, 0.342) mmol) in methanol (100 mL). The device was pressurized with 6 bar of argon and connected to the 2-L autoclave. The 2-L autoclave was charged with  $\beta$ -keto ester 13 (50.0 g, 0.342 mol), ammonium acetate (26.9 g, 0.342 mol), and methanol (1.10 L). The autoclave was sealed and rendered inert under stirring (500 rpm, four cycles of pressurizing with 7-8 bar of argon and evacuation to 1−2 bar). The catalyst solution was introduced from the addition device into the autoclave. The autoclave was connected to a hydrogen line, which was thoroughly flushed with hydrogen. The hydrogen pressure was set to 60 bar and the heating started. After 45 min the reaction temperature of 80 °C was reached, whereas the pressure slightly increased to 64 bar. During the course of the hydrogenation, the pressure decreased slowly to the set 60 bar. A conversion of >99.8% was reached after 21 h. After a total reaction time of 22 h, the autoclave was cooled and vented, and the brownish reaction solution was transferred with methanol (200 mL) to a 2-L round-bottomed flask. The reaction mixture was evaporated and dried to constant weight (35  $^{\circ}$ C/ $\geq$ 10 mbar) to yield 72.7 g (91.4% by weight) of brownish oil; GC 89.4%; ee 97.8%. In an analogous experiment starting from 13 (50 g, 0.342 mol) 71.8 g of crude material was isolated; GC 88.2%; ee 97.8%. The combined crude residues were dissolved in ethyl acetate (600 mL) and the solution was treated with activated charcoal (10 g) on the rotary evaporator (50 °C/1 h). The suspension was filtered (glass fiber paper overlaid with 100 g of dicalite speed and diatomaceous filter-aid) and the filter cake was washed with ethyl acetate (600 mL). The light brown filtrate was evaporated and dried (40  $^{\circ}$ C/ $\geq$  100 mbar). The oily residue was dissolved in ethyl acetate (800 mL). The mixture was cooled whereby crystallization spontaneously started at 0-5 °C. The white slurry was stirred at 0-5 °C for 1 h, then heptane (800 mL) was added within 30 min and the suspension stirred at 0−5 °C for another 1 h. The crystals were collected by suction filtration, washed with several portions of an ice-cold mixture of ethyl acetate (200 mL) and heptane (200 mL), and dried (25 °C,  $\geq$  0.5 mbar) to provide 95.5 g (67% by weight) of 17·HOAc as white crystalline powder which, by <sup>1</sup>H NMR analysis, contained  $\sim$ 0.33 mol equiv HOAc corresponding to  $\sim$ 9 wt %; corrected yield: 61% 17 · HOAc. GC 98.9%; ee 99.0%; Ru content 96 ppm. Anal. Calcd for  $C_8H_{17}NO_5 \cdot (C_2H_4O_2)_{0.33}$  (227.05): C 43.75, H 8.13, N 6.20, O 39.95; found C 44.21, H 7.97, N 6.22, O 41.95.

5.4. (S)-4-Methoxy-3-amino-butyric Acid Methyl Ester (17). A 2-L separation funnel was charged with  $17 \cdot HOAc$  (20 g, containing  $\sim$ 0.1 mol equiv of HOAc and 8.1 wt % H<sub>2</sub>O, corresponding to  $\sim$ 89% purity,  $\sim$ 86 mmol), DCM (500 mL) and 2 M

KHCO<sub>3</sub> (150 mL). The layers were mixed, separated and the organic layer was washed with 2 M KHCO<sub>3</sub> (150 mL). To the combined aqueous layers, sodium chloride (300 g) and DCM (500 mL) was added, the layers were mixed, separated and the aqueous layer was extracted with DCM (500 mL). All the organic layers were combined, dried over sodium sulfate, filtered, evaporated and dried  $(40 \,^{\circ}\text{C}/\leq 10 \,\text{mbar}/1 \,\text{h})$  to yield 9.5 g (75%) of crude amino ester 17 as a light yellow oil; GC 99.9%. A sample of crude 17 (2.3 g) was purified by distillation (75 °C/2-4 mbar) to yield 2.1 g (89%) of pure 17 as colorless oil; GC 100%; ee 99.5%;  $\left[\alpha\right]^{20}_{\rm D}=-10.1$  (1, CHCl $_3$ ).  $^1$ H NMR (CDCl $_3$ , 600 MHz):  $\delta$  1.65 (s very br,  $\sim$ 3H, NH<sub>2</sub> and H<sub>2</sub>O), 2.34 and 2.49 (ABX,  $J_{AB} = 16.1$  Hz,  $J_{AX} = 8.7$  Hz,  $J_{BX} = 4.3$  Hz, 1H each,  $CH_2CO_2$ ), 3.27 and 3.34 (ABC,  $J_{AB} = 9.1$  Hz,  $J_{AC} = 6.9$  Hz,  $J_{BC} =$ 4.6 Hz, 1H each, OCH<sub>2</sub>), 3.37 (s, 3H, CH<sub>3</sub>O), 3.42 (m, 1H, CHN), 3.70 (s, 3H,  $CO_2CH_3$ ). ESI-MS: calcd for  $C_6H_{13}NO_3$ 147.0896; found 148.0969  $(M + H)^+$ .

5.5. (S)-3-tert-Butoxycarbonylamino-4-methoxy-butyric Acid Methyl Ester (18). A 2-L flask was charged with 17·HOAc (100 g, containing  $\sim$ 0.3 mol equiv of HOAc,  $\sim$ 444 mmol) and DCM (533 mL). The solution was cooled to 5  $^{\circ}$ C and triethylamine (77.8 mL, 99.5%, 555 mmol) was added resulting in the formation of a yellowish, slightly turbid solution. A solution of di-tert-butyl dicarbonate (117.0 g, 99%, 531 mmol) in DCM (200 mL) was added at 5-10 °C within 20 min. The reaction was slightly exothermic and gas evolution occurred. The reaction mixture was stirred at rt for 2 h. GC analysis indicated complete conversion. 4-Dimethylamino-pyridine (1.15 g, 98%, 9.2 mmol) was added, resulting in strong gas evolution, and the mixture was stirred at rt for 1 h and then quenched with sat. sodium hydrogen carbonate solution (160 mL). The phases were separated, and the organic phase was washed with sat. sodium hydrogen carbonate solution (160 mL) and 0.1 N hydrochloric acid (330 mL), dried over sodium sulfate, filtered, evaporated, and dried to provide 117.9 g of a light-yellow oil. The material was dissolved in heptane/ ethyl acetate 2:1 (50 mL) and filtered over silica gel (200 g) using heptane/ethyl acetate 2:1 (2.0 L). Evaporation (45 °C/ $\geq$  15 mbar/ 2 h) provided 99.32 g (90% by weight) of Boc-amino ester 18 as colorless oil; GC 98.4%. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 600 MHz):  $\delta$  1.44 (s, 9H, C(CH<sub>3</sub>)<sub>3</sub>), 2.60 (m, 2H, CH<sub>2</sub>CO<sub>2</sub>), 3.34 (s, 3H, CH<sub>3</sub>O), 3.45 (m, 2H, OCH<sub>2</sub>), 3.68 (s, 3H, CO<sub>2</sub>CH<sub>3</sub>), 4.11 (m br, 1H, CHN), 4.76 and 5.12 (m br, 0.15 and 0.85H, NH, rotamers). ESI-MS: calcd for  $C_{11}H_{21}NO_5$  247.14211; found 270.1313  $(M + Na)^+$ , 248.1492  $(M + H)^{+}$ 

5.6. (S)-3-Hydroxy-1-methoxymethyl-propyl)carbamic Acid tert-Butyl Ester (19). To a solution of 18 (92.0 g, 372 mmol) in 2-methyltetrahydrofuran (850 mL) was added at rt lithium borohydride (227 mL 2 M solution in THF, 435.8 mmol, 1.22 equiv). Methanol (30 mL, 748 mmol, 2.0 equiv) was added dropwise to the clear solution within 40 min (exothermic). During the addition the temperature was held in the range of 20-24 °C by occasional cooling. GC analysis after 1.5 h reaction time indicated complete conversion. The reaction mixture was stirred for an additional 1.5 h, then quenched by addition within 5 min of acetone (102 mL, exothermic!) followed by addition within 10 min of 2 N NaOH (900 mL). The mixture was stirred for 30 min, and the clear phases were separated. The aq phase was extracted with MTBE (2  $\times$  350 mL). The combined organic phases were washed with sat. sodium chloride solution (2  $\times$  290 mL), dried over sodium sulfate, filtered, evaporated, and dried to constant weight (45 °C/10 mbar) to yield 71.67 g (88% by weight) of 19 as colorless oil; GC 98.65%. <sup>1</sup>H NMR (CDCl<sub>3</sub>, 600 MHz):  $\delta$ 

1.45 (s,  $\sim$ 10H, C(CH<sub>3</sub>)<sub>3</sub> and OH), 1.63 (m, 1H), 1.76 (m, 1H), 3.36 (s, 3H, CH<sub>3</sub>O), 3.40 and 3.49 (ABC,  $J_{AB}$  = 9.2 Hz,  $J_{AC}$  = 3.5 Hz,  $J_{BC}$  = 4.0 Hz, 1H each, CH<sub>3</sub>OCH<sub>2</sub>), 3.53 (m, 1H), 3.60 (m, 1H), 3.67 (m, 1H), 3.94 (m, 1H, CHN), 4.97 (d br,  $J \sim$ 8 Hz, 1H, NH). ESI-MS: calcd for C<sub>10</sub>H<sub>21</sub>NO<sub>4</sub> 219.1475; found 242.1368 (M + Na)<sup>+</sup>, 220.1551 (M + H)<sup>+</sup>, 164.0922 [(M + H) - C<sub>4</sub>H<sub>8</sub>]<sup>+</sup>.

**5.7.** (*S*)-3-Hydroxy-1-methoxymethyl-propyl-ammonium Chloride (1 · HCl). A solution of 19 (23.87 g, 108.9 mmol) in 1.25 M hydrochloric acid in methanol (235 mL, 294 mmol) was stirred at rt for 5 h. A continuous, slow gas evolution was observed. The clear solution was further stirred at rt overnight. Evaporation and drying (20 °C/0.01 mbar/33 h) afforded 17.89 g (105% by weight) of hydrochloride  $1 \cdot$  HCl as glassy oil; GC 96.7%; ee 99.4%; residual solvents 2.5 wt % methanol, 1.2 wt % water (Karl Fischer); corrected yield: 98%  $1 \cdot$  HCl.  $^1$ H NMR (CDCl<sub>3</sub>, 600 MHz):  $\delta$  1.84 (m, 1H), 2.08 (m, 1H), ~2.6 (very br, ~2H, OH and ~0.5 equiv  $H_2$ O), 3.43 (s, 3H,  $CH_3$ O), 3.49 (s, ~0.8H, ~0.25 equiv  $CH_3$ OH), 3.63 (~dd, J = 9.8 and 4.3 Hz, 1H), 3.68 (m, 1H), 3.72 (m br, 1H, CHN), 3.84 (m, 1H), 3.97 (m, 1H). Anal. Calcd for  $C_5H_{14}$ ClNO<sub>2</sub> (155.62): C 38.59, H 9.07, Cl 22.78, N 9.00; found C 37.88, H 8.98, Cl total 21.93; N 8.89;  $H_2$ O 1.55 wt % (Karl Fischer).

#### AUTHOR INFORMATION

#### **Corresponding Author**

\*Author for correspondence. E-mail: rudolf.schmid@roche.com

#### ■ ACKNOWLEDGMENT

We thank Fabian Degen, Patrick Di Giorgio, Michel Lalonde, Gerd Schaffner, Daniel Spiess, and Markus Steiner for their skillful experimental assistance. We also thank Drs. Nicolas Burki, Andreas Stämpfli, Josef Schneider, and Jean-Claude Jordan and their teams for analytical support.

#### ■ REFERENCES

- (1) Yata, S.; Ozeki, H.; Wakitani, K. (Japan Tabacco). Eur. Pat. Appl. EP 0717040 A1, 1996.
- (2) Le Grand, D. M.; McCarthy, C.; Walker, C. V.; Woods, J. J. (Novartis AG). PCT Int. Appl. WO 2003/077907 A1, 2003.
- (3) For Rh-catalyzed asymmetric enamine hydrogenation see: (a) Hsiao, Y.; Rivera, N. R.; Rosner, T.; Krska, S. W.; Njolito, E.; Wang, F.; Sun, Y.; Armstrong, J. D., III; Grabowski, E. J. J.; Tillyer, R. D.; Spindler, F.; Malan, C. *J. Am. Chem. Soc.* **2004**, *126*, 9918. (b) For a review see: Shultz, C. S.; Krska, S. W. <u>Acc. Chem. Res.</u> **2007**, *40*, 1320.
- (4) For Ru-catalyzed asymmetric enamine hydrogenation see: Matsumura, K.; Zhang, X.; Saito, T. (Takasago Int. Corp.). *Eur. Pat. Appl.* EP 1386901 A1, 2004.
- (5) For asymmetric reductive amination of β-keto esters see: (a) Matsumura, K.; Saito, T. (Takasago Int. Corp.). *PCT Int. Appl.* WO 2005/028419, 2005. (b) Bunlaksananusorn, T.; Rampf, F. *Synlett* **2005**, 2682. (c) Shimizu, H.; Nagasaki, I.; Matsumura, K.; Sayo, N.; Saito, T. *Acc. Chem. Res.* **2007**, 40, 1385. (d) For asymmetric reductive amination of β-keto amides see: Steinhuebel, D.; Sun, Y.; Matsumura, K.; Sayo, N.; Saito, T. *I. Am. Chem. Soc.* **2009**, 131, 11316.
- (6) (a) Kinugawa, M.; Arai, H.; Nishikawa, H.; Sakaguchi, A.; Ogasa, T.; Tomioka, S.; Kasai, M. J. Chem. Soc., Perkin Trans. 1 1995, 2677. (b) Oi, S.; Maezaki, H.; Suzuki, N. (Takeda Pharm. Comp. Ltd.). PCT Int. Appl. WO 2005/042488 A1, 2005.
- (7) Iwanami, M.; Shibanuma, T.; Fujimoto, M.; Kawai, R.; Tamazawa, K.; Takenaka, T.; Takahashi, K.; Murakami, M. Chem. Pharm. Bull. 1979, 27, 1426.
- (8) Further methods tested to prepare 14 included  $NH_4OAc/HOAc/toluene/reflux$  and  $NH_3$  gas/cat. TsOH/toluene/reflux, both involving azeotropic removal of water. The former protocol turned out

to be impractical due to  $\mathrm{NH_4OAc}$  sublimation into the condenser while in the latter significant amounts (up to 10%) of the enamine amide 20 formed as secondary product.

- (9) Schmid, R.; Broger, E. A.; Cereghetti, M.; Crameri, Y.; Foricher, J.; Lalonde, M.; Mueller, R. K.; Scalone, M.; Schoettel, G.; Zutter, U. *Pure Appl. Chem.* **1996**, *68*, 131.
- (10) Rh catalysts have not been investigated for reasons of having early on achieved good results with Ru catalysts and for cost reasons. Ir catalysts similarly were not considered.
- (11) The N-acetyl derivative of 17 was identified as the major thermal decomposition product.
- (12) Depending on the crystallization and drying conditions, the acetate salt 17 · HOAc contained by <sup>1</sup>H NMR 0.0—0.6 equiv of HOAc. The yields were corrected accordingly.
- (13) In analogy to Hansen, K. B.; Rosner, T.; Kubryk, M.; Dormer, P. G.; Armstrong, J. D., III. Org. Lett. 2005, 22, 4935.
- (14) For the activation of sodium or lithium borohydrides by addition of alcohols see: (a) Soai, K.; Oyamada, H.; Takase, M.; Ookawa, A. <u>Bull. Chem. Soc. Ipn.</u> 1984, 57, 1948. (b) Soai, K.; Oyamada, H.; Takase, M. <u>Bull. Chem. Soc. Ipn.</u> 1984, 57, 2327. (c) Soai, K.; Ookawa, <u>A. J. Org. Chem.</u> 1986, 51, 4000.
- (15) For a large-scale NaBH<sub>4</sub> reduction in THF/MeOH see: Ahman, J.; Birch, M.; Haycock-Lewandowski, S. J.; Long, J.; Wilder, A. *Org. Process Res. Dev.* **2008**, *12*, 1104.
- (16) The decomposition of NaBH<sub>4</sub> in the presence of heterogeneous or homogeneous Ru compounds is well-known; see, e.g.: (a) Masjedi, M.; Demiralp, T.; Oezkar, S. *J. Mol. Catal. A: Chem.* **2009**, 310, 59. (b) Richardson, B. S.; Birdwell, J. F.; Pin, F. G.; Jansen, J. F.; Lind, R. F. *J. Power Sources* **2005**, 145, 21 and references cited therein.
- (17) (a) Heiser, B.; Broger, E. A.; Crameri, Y. Tetrahedron: Asymmetry 1991, 2, 51. (b) Chan, A. S. C.; Laneman, S. Inorg. Chim. Acta 1994, 223, 165.